

Financial Advisors, Come Grow With a Prospering Firm

What makes Dow Investment Group worth a look?

Client Benefits

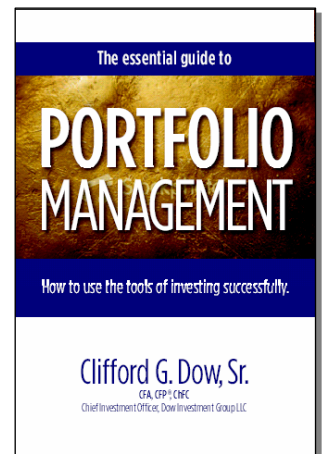
- An academically oriented, disciplined investment philosophy that places clients' interests first. Provide your clients with the significant advantages of separately managed high-quality securities portfolios. We can provide you with the independence and all the capabilities to do so.
- Client Confidence. A combination of the best. Our clearing firm is Pershing LLC, a Bank of New York Mellon company (BNY – Symbol: BK). BNY—with \$23 trillion of assets under custody & administration—was recently selected by the US Government as one of the institutions to administer the \$700 billion bailout package.

FA Benefits

- A firm culture focused on providing financial advisors (FA's) with a professional and productive business environment where FA initiatives are encouraged and supported.
- Competitive payout. No deferred compensation. 100% company-paid health insurance.
- Private offices for all financial advisors, excellent Falmouth location in a state-of-the-art facility with ample parking.
- Cutting-edge proprietary Portfolio & Prospect Management software.
- Pershing is the world's largest clearing & custody firm and offers superior product and online technology capabilities.
- FA business transition and succession planning support.

Our Firm & Initiatives

- Strong brand name recognition and a prominent reputation in Maine that is growing throughout New England.
- Principled advertising that takes a point of view & credentialed seminars.
- A substantial in-house high-tech direct-mail operation to generate high-quality prospects. The company mailed 50,000 pieces in October alone.
- Employment security. We are a closely held, privately owned firm committed to the long term well-being of our clients and colleagues. We operate a business model focused on integrity and longevity.
- Upcoming events:
 - Publishing Clifford Dow, Sr.'s *Portfolio Management* guide as a hardcover book for distribution to clients and prospects, further positioning the company as the premier investment firm in Maine.
 - DowDubai: The Company has formed a strategic global partnership through the establishment of a business development office in Dubai, UAE scheduled to open in early 2009.



If you provide your clients with high caliber investment consultation, we would be pleased to discuss how we can help you build your investment practice.

Call Russell Dow direct at 207-878-1142.

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SEE DOWS.COM FOR MORE INFORMATION